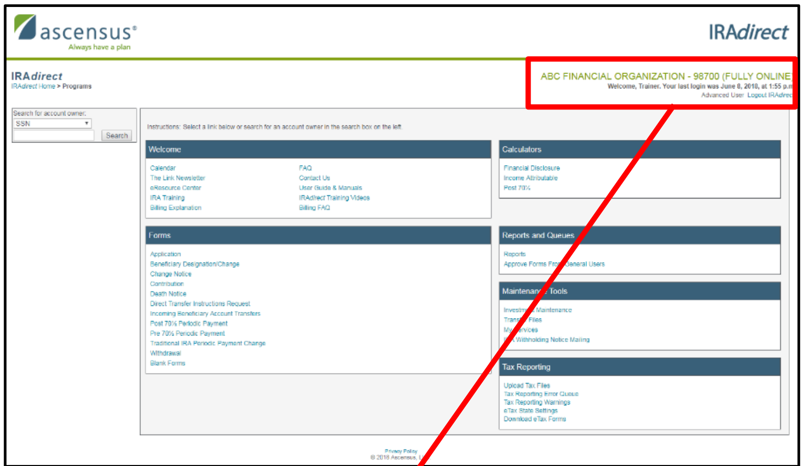
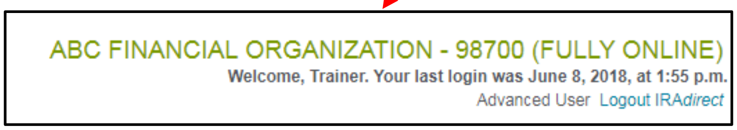
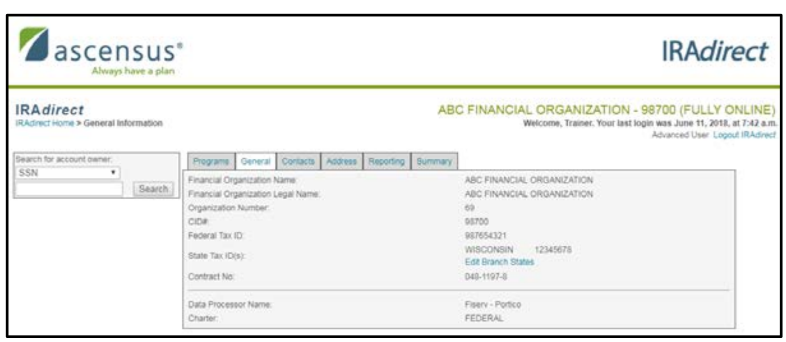


The user can access the financial organization's information screens from anywhere within IRA*direct*® by clicking the organization's name in the upper right corner of the page.

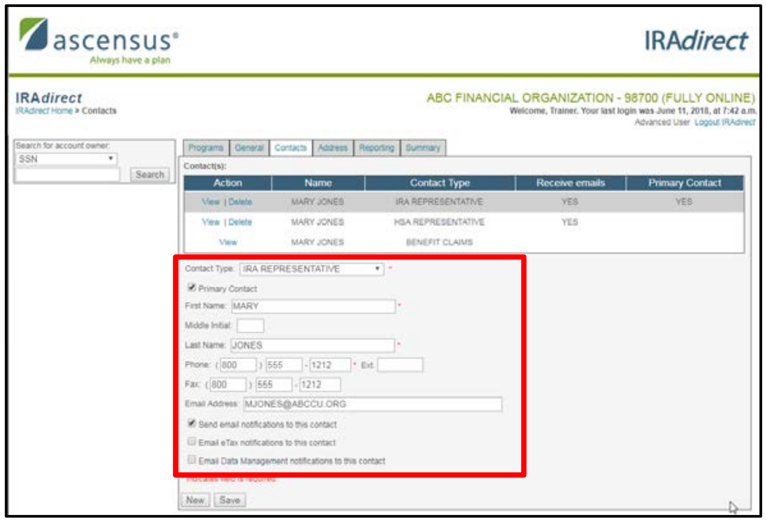


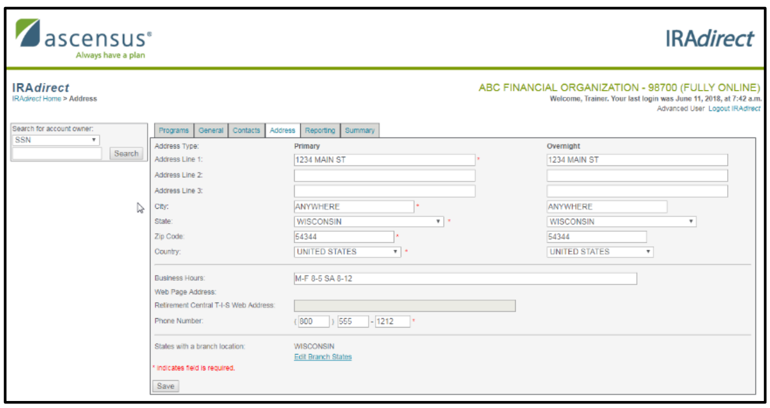
The **Programs** page provides information about the financial organization's services and programs. This page includes information such as the administration level, accounts supported, and the enrollment status for other Ascensus programs and services. This information can be viewed by the user but cannot be changed.

The **General** page includes the financial organization name, organization ID number, the federal and state tax ID numbers, and data processor information. This information can be viewed by the user, but cannot be changed.



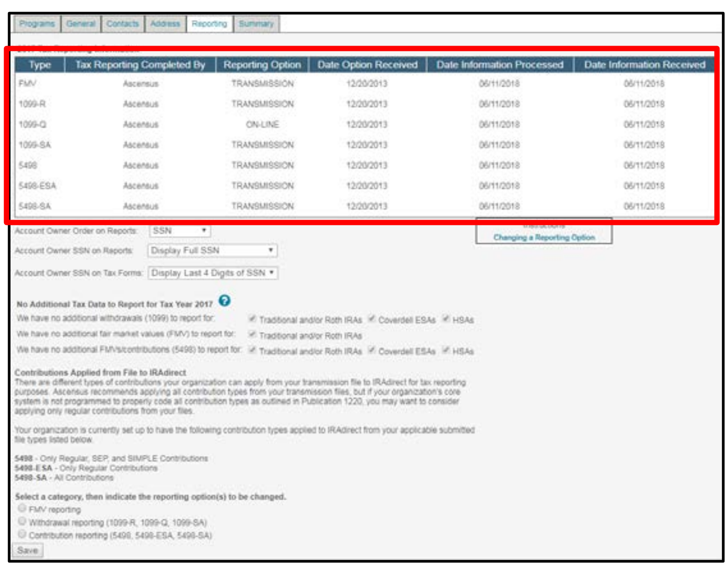
The **Contacts** page contains a table with the name, telephone, fax, and email address information for program contacts at your financial organization. An Advanced User can add, delete, or change the information listed on the contacts screen, except for the Security Administrator role. The financial organization also has the option to register for email notifications on this page.





The **Address** page contains location information for the financial organization, such as P.O. Box and street mailing addresses, hours of operation, telephone number, and web page address. The street address and phone number fields can be updated by an Advanced User.

The **Reporting** page contains the tax reporting information for the financial organization. It details how Ascensus expects to receive tax information, when the information was received and processed, and specifies the order in which you want the records on the financial organization's tax reports to be displayed. Financial organizations also can



Programs | General | Contacts | Address | Reporting | Summary

Number of Accounts

Account Type	Current Open Accounts				Total Closed Accounts
	Total	Over 70½	Deceased	SEP	
Traditional	48	27	7	0	2
Roth	4	1	0	N/A	2
Coverdell ESA	1	N/A	0	N/A	0
HSA	3	N/A	0	N/A	0
SIMPLE	0	0	0	N/A	0
Total	56	28	7	0	4

Total includes beneficiary accounts

2017 Tax Forms Produced

Form Type	Paper	Electronic
FMV	0	0
5498	0	0
5498 (IRS Only)*	0	0
5498-ESA	0	0
5498-SA	0	0
1099-R	0	0
1099-Q	0	0
1099-SA	0	0

*IRS Only forms contain only the 12/31 FMV. Because this information is sent only to the IRS, and no statement is mailed to the IRA owner, there is no charge for postage.

The **Summary** page contains information about the number of open and closed accounts at your financial organization, as well as information on the number of tax forms that were produced.